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**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on August 21, 2003.

Brian W. Hameder (Reg. No. 45,613)  
Name

AUG 25 2003

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OCKET: CU-3295

Brian W. Hameder

Signature

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Mats ALLERS et al.

Serial No.: 10/072,857

Group Art Unit: **RECEIVED**

Filed: February 5, 2002

Examiner: **SEP 02 2003**

For: CEREBRAL TEMPERATURE CONTROL

TECHNOLOGY CENTER R3700

THE COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF  
FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: August 21, 2003

Brian W. Hameder

Signature of Attorney  
Brian W. Hameder  
Ladas & Parry  
224 South Michigan Avenue  
Chicago Illinois 60604  
Tel. No. (312) 427-1300  
Reg. No. 45613



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**INFORMATION DISCLOSURE STATEMENT**

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

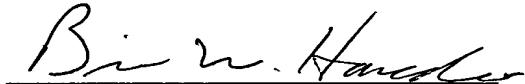
Additional comments, if any, on the relevance of each reference listed are provided as follows:

Also submitted herein is a copy of the International Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

        supplied by the inventor(s);  
        supplied by an individual associated with the filing and prosecution  
of this application (37 CFR 1.56(c)); or  
        in the attorney's file.

Respectfully submitted,



Date: August 21, 2003

Signature of Attorney  
Brian W. Hameder  
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**Form PTO-1449 (Modified)**

FORM PTO-1449	ATTY. DOCKET NO. <b>CU-3295</b>	SERIAL NO. <b>10/072,857</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>APPLICANT</b> <b>Mats ALLERS et al.</b>	
(37 CFR 1.98(b))	<b>FILING DATE</b> <b>February 5, 2002</b>	<b>GROUP</b>

## U.S. PATENT DOCUMENTS

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SEP 02 2003

TECHNOLOGY CENTER R3701

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

**OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)**

CROSS-REFERENCE DOCUMENTS (including filer, file, date, & place of publication)		
EXAMINER		DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not In conformance and not considered. Include copy of this form with next communication to applicant.

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(Form PTO-1449)